PTO/SB/08a (08-03 )
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number
	Filing Date
	First Named Inventor William L. Keith
	Art Unit
	Examiner Name
	Attorney Docket Number US030490US2

U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
	1	6160361		2000-12-12	GIANNOPOULOS ET AL		
	2	6359387	B1	2002-03-19	GIANNOPOULOS ET AL		
	3	6525479	B1	2003-02-25	KEGGENHOFF ET AL		
	4	5973455		1999-10-26	MIRSKIY ET AL		
	5	5039921		1991-08-13	KAKITANI		
	6	6323605	B1	2001-11-27	GREENBERG ET AL		
If you wish to add additional U.S. Patent citation information please click the Add button.							
U.S.PATENT APPLICATION PUBLICATIONS							
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Willia	m L. Keith
Art Unit		
Examiner Name		
Attorney Docket Number		US030490US2

		<u> </u>		Attorney Dod	Ket Number	US030490US2		
	1							
If you wish	h to a	dd additional U.S. Pub	lished Appli	cation citatio	n information	please click the Add bu	tton.	
			FC	DREIGN PA	TENT DOCUM	MENTS		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	1235468	EP	A2	2002-08-28	ORTMEYER		
If you wisl	h to a	⊥ dd additional Foreign∃	 Patent Docu	ment citation	information p	olease click the Add but	ton	<u> </u>
<del>-</del>			NON-P	PATENT LIT	ERATURE DO	DCUMENTS		
Examiner Initials*	Cite No		ırnal, serial,	symposium,	catalog, etc),	f the article (when appro date, pages(s), volume		<b>T</b> 5
	1							
If you wis	h to a	dd additional non-pate	nt literature	document ci	 tation informa	tion please click the Ad	d button	<u> </u>
		<u></u> _	<del></del>	EXAMINE	R SIGNATUR	RE		
Examiner	Signa	ature				Date Considered		•
						formance with MPEP 6	09. Draw line through a on to applicant.	
<sup>1</sup> See Kind (	Codes o	of USPTO Patent Documen	ts at <u>www.USP</u>	TO.GOV or MP	EP 901.04. <sup>2</sup> Ent		ment, by the two-letter code (W	

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

	_			
Application Number			 	
Filing Date	-			
First Named Inventor	Willia	ım L. Keith		
Art Unit				
Examiner Name				
Attorney Docket Numb	er	US030490US2	 _	

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OF	OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached ce	rtification statement.						
	Fee set forth in 3	7 CFR 1.17 (p) has been submitte	ed herewith.					
X	 ▼ None							
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sig	Signature Date (YYYY-MM-DD) 2006-06-09							
Nar	ne/Print	Robert J. Kraus	Registration Number	26358				
		<del></del>						

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

CEC Mah 1.0

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.